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## **RESEARCH ARTICLE**

# Federal Nutrition Assistance for Young Children: Underutilized and Unequally Accessed

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**Introduction:** The federal Child and Adult Care Food Program (CACFP) improves nutrition and reduces food insecurity among young children by helping cover the food costs for child care providers and families. This nationwide study evaluated the extent and predictors of the CACFP's utilization among licensed child care centers to identify opportunities for expanding CACFP nutrition support.

**Methods:** Administrative data from the CACFP and child care licensing agencies in 47 states and District of Columbia were compiled and geocoded for 93,227 licensed child care centers. CACFP participation was predicted using a multivariable Bayesian spatial logistic regression model in the sample of low-income areas to target CACFP eligible child care centers. Data were collected in 2020 -2021 and analyzed in 2022.

**Results:** Of all licensed child care centers, 36.5% participated in the CACFP, ranging from 15.2% to 65.3% across states; when restricted to low-income areas, 57.5% participated (range, 15.7% –85.7%). Income differences did not explain the large variation in CACFP participation rates across states. Having at least three CACFP sponsoring agencies per state predicted a 38% higher probability of CACFP participation (OR=1.38; 95% Credible Interval=1.08–1.78).

**Conclusions:** Currently CACFP participation rates among licensed child care centers point to program underutilization and unequal access, particularly in some states and regions. Work at the federal and state levels is warranted to expand participation in the program, above all in low-income areas, so that more young children could eat healthfully with the CACFP.

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# INTRODUCTION

ealthy eating among young children is a promising chronic disease prevention strategy as habits formed in early life often persist during adulthood.<sup>1,2</sup> Most young American children do not meet dietary recommendations, with diets high in added sugars, sodium, and saturated fat but low in fiber.<sup>3,4</sup> Child care providers, such as child care centers and family daycare homes, can shape young children's eating habits through the meals and snacks served and the use of appropriate feeding practices.<sup>5,6</sup> In the U.S., most young children regularly receive nonparental care,<sup>7</sup> making food consumption outside of the home a major target for improving the diets of millions of children. The Child and Adult Care Food Program (CACFP) is 1 of the 15 federal nutrition assistance programs meant to reduce the food insecurity and improve nutrition.

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CACFP provided reimbursements for meals and snacks served to participating child care centers, family daycare homes, emergency shelters, after-school programs, and adult daycare centers, reaching 4.58 million individuals in fiscal year (FY) 2022.<sup>8</sup> Foods and beverages served must meet specific nutrition standards to get reimbursed, and providers should complete regular training. Targeting benefits to children at risk for food insecurity, CACFP reimburses the child care centers on the basis of the attending children's household income.<sup>9</sup>

Although decisions to participate in CACFP are made by care providers, the primary beneficiaries are young children and their families. Through CACFP, children receive nutritious meals and snacks that comply with the CACFP meal patterns aligned with the dietary guidelines for Americans.<sup>9</sup> Previous research suggests that CACFPparticipating programs often serve more whole grains, vegetables, and milk than nonparticipating programs, and children may have healthier dietary intake when consuming CACFP meals than intake at home or at nonparticipating programs; CACFP may also reduce the prevalence of food insecurity among young children.<sup>10</sup>  $^{-18}$  CACFP reimbursements also help offset food costs

for providers and families. Despite its multiple advantages, CACFP is not used by many eligible child care providers.<sup>10</sup>

Because participation in nutrition assistance programs is associated with reduced food insecurity and improved nutrition,<sup>18-20</sup> it is an important policy goal that all eligible providers and children can access program resources. The U.S. Department of Agriculture (USDA) routinely estimates participation among eligible populations for its larger programs, such as the Supplemental Nutrition Assistance Program (SNAP), with SNAP participation rates varying across states from 56% to 100%.<sup>21</sup> Although USDA tracks the number of meals served and daily attendance for CACFP, and state CACFP agencies track individual programs' compliance with program requirements, no existing system tracks participation among eligible programs. Understanding where and how CACFP is utilized helps point toward an effective strategy to increase CACFP uptake and improve child nutrition.

This study evaluates the rates and predictors of CACFP participation among licensed child care centers across the U.S. to help inform targeted efforts that expand this important program to more children in need. Administrative data from 47 states and the District of Columbia (DC) were collected to (1) estimate CACFP participation rates among licensed child care centers in each state, overall, and in low-income communities, and (2) explore predictors of center-level CACFP participation using Bayesian spatial models. The study

hypothesizes that centers located in states with more resources for CACFP and food security in general measured by the availability of more sponsoring agencies, having licensing and CACFP administered by a single state agency, greater state SNAP participation rates, and having user-friendly agency websites—are more likely to participate in CACFP. In sensitivity analyses, larger centers are expected to have a higher probability of CACFP participation owing to economies of scale.

### **METHODS**

#### Study Sample

This cross-sectional study compiled data on CACFPparticipating and licensed child care centers from 77 state agencies in 47 states and DC. Data was requested from agencies overseeing child care licensing and/or CACFP for centers serving children aged 0-5 years (excluding camps and programs serving only school-age children). When publicly available, lists were downloaded from state agency websites. Data were collected through 2020 and early 2021, but records were requested for the period before March 2020 to reflect the sector before the coronavirus disease 2019 (COVID-19) disruptions. Licensed child care centers were selected as these centers serve 89% of CACFP child participants,<sup>8</sup> and data on daycare homes is limited for privacy concerns. Because of incomplete data or nonresponse, 3 states (Alaska, Montana, and North Carolina) were excluded.

Different state agencies usually oversee child care licensing and CACFP, and typically do not share records or use compatible databases with one another. Therefore, it was necessary to construct estimates from the raw data by collecting various agencies' records and merging all lists at the center level, with 2 coders verifying merges. Each center's residential address was geolocated using the geocode address tool in ArcGIS Pro (version 2.6.3, Redlands, CA: Esri Inc)<sup>22</sup> and merged with 2019 census data from the American Community Survey 5-year Estimates.<sup>23</sup>

CACFP eligibility is restricted to centers that are either nonprofit (irrespective of children's household income) or if they are for-profit, serve children among whom at least 25% qualify for free or reduced-price meals.<sup>9</sup> Because the data on centers' nonprofit status and children's household income were not available, CACFP eligibility was assessed based on a center location in an under-resourced area (census tracts where household incomes are low from disinvestments in their communities and other structural barriers). These were defined as low-income areas if the median 2019 household income was below \$39,461 per year in contiguous states and DC, \$49,321 in Alaska, and \$45,399 in Hawaii; based on the federal poverty guidelines for a household of 3 people to qualify for reduced-price meals through CACFP in FY 2019–2020.<sup>24</sup> Sensitivity analyses used higher income thresholds based on a household of 4 people.

#### Measures

To calculate the state CACFP participation rate among licensed child care centers serving young children, the number of licensed CACFP-participating centers was divided by the number of licensed child care centers in the state. License-exempt CACFP-participating programs (e.g., centers located on tribal lands, affiliated with the Department of Defense, or public school—based programs) were excluded. The primary outcome variable CACFP participation was coded as yes/no for each center.

Because no information was available on the characteristics of children at each center, sociodemographic characteristics of center locations were used as proxies of children's household characteristics, including census-tract median household income, percentage of adults with at least a high-school degree, percentage of non-Hispanic White, and percentage of children aged <5 years.

State-level measures were collected from multiple sources and intended to capture institutional support and barriers to CACFP participation. These included the following: (1) the type of state agency overseeing CACFP (department of education, social/human services, public health/health services, early care and education, or agriculture); (2) whether child care licensing and CACFP were overseen by the same state agency to account for potential administrative efficiencies (yes/no); (3) a statewide number of CACFP-sponsoring agencies working with unaffiliated (or independent) centers-they help reduce the program administrative burdens (sponsors for daycare homes only were not counted);<sup>25</sup> (4) state's dominant political affiliation based on the 2020 Presidential election;<sup>26</sup> (5) 7 USDA administrative regions;<sup>27</sup> (6) state SNAP and Women, Infants, and Children (WIC) participation rates;<sup>21,28</sup> and (7) user-friendliness of the CACFP state agency websites measured on a 1-3scale representing score tertiles to describe the availability and ease of access to information about CACFP.<sup>25</sup>

### **Statistical Analysis**

To explore predictors of CACFP participation for an average child care center, given the inherent spatial structure in the distribution of child care centers (e.g., urban clusters), this study used a flexible, Bayesian mixed-effects logistic regression model with a spatial random effect—an extension of a standard stochastic partial differential equation model that allows for seamless modeling of noncontiguous states.<sup>30</sup> The following

model was estimated:

$$Y_i | p_i \sim Bin(1, p_i)$$

$$\log\left(\frac{p_i}{1-p_i}\right) \sim \beta_0 + \sum_{k=1}^p x_{ik}\beta_k + s_i \tag{1}$$

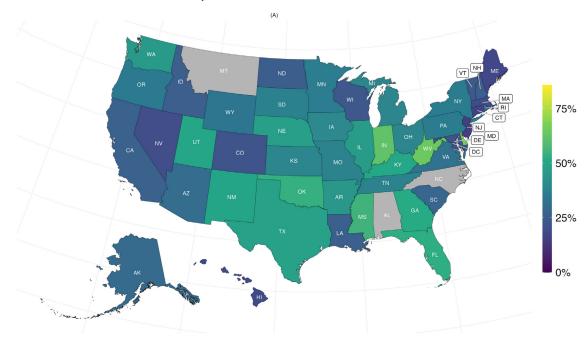
where  $p_i$  is the probability that the *i*-th center participates in CACFP,  $\beta_0$  is an intercept,  $x_{ik}$  is the value of the k-th covariate at the i-th center,  $\beta_k$  is the contribution of the k-th covariate, and  $s_i$  is the spatial effect at Noninformative the *i*-th center. priors for the  $\beta$  coefficients and weakly informative penalized complexity priors<sup>31</sup> were used for the spatial parameters. The model was fitted using integrated nested Laplace approximations<sup>32</sup> and the *coastline* model,<sup>30</sup> which is a nonstationary extension of the stochastic partial differential equation representation of the Gaussian-Markov random fields approach.<sup>33</sup> The center geolocations were considered using the Universal Transverse Mercator coordinate system. All numerical variables were standardized to have a mean of 0 and an SD of 1.

Given that centers serving high-income children are unlikely to be eligible for CACFP, and the full sample could include centers not eligible for the program, participation predictors were assessed for centers in lowincome areas only. Models were fitted to the data with these covariates: the state CACFP agency type; an indicator for CACFP and child care licensing in 1 agency; indicators for having from 1 to 2 CACFP sponsors for unaffiliated centers and  $\geq 3$  sponsors; the state's predominant political affiliation; state SNAP and WIC participation rates; and state website scoring and region indicators. Census-based measures included household income (log scale), percentage of high school graduates or higher, percentage of non-Hispanic White, and percentage of children aged <5 years. Center capacity and availability in 33 states were used as a covariate in sensitivity analyses. All analyses were run in R Core Team (version 4.2.2, Vienna, Austria).<sup>34</sup> The University of Connecticut IRB deemed the study exempt from a human subject's review.

### RESULTS

The sample of matched licensing and CACFP records comprised 93,227 centers, of which 17,229 were in lowincome areas. Of all the licensed child care centers serving young children in 47 states and DC, 36.5% participated in CACFP. In low-income areas where CACFP coverage was of priority, the mean CACFP participation rate was 57.5%. Higher-income areas had an average CACFP participation rate of 31.8%. Rates varied

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**Figure 1.** Unadjusted CACFP participation rates among licensed child care centers across states, full sample (N=93,227). CACFP, Child and Adult Care Food Program.

substantially across states, from 15.2% to 65.3% in the full sample (Figure 1) and from 15.7% to 85.7% in the low-income areas (Figure 2). Similarly, there were differences in CACFP participation rates across USDA regions, with the highest rates observed in the Southeast and the lowest in the West and Mountain Plains regions (Table 1).

Table 2 displays estimation results for potential predictors of CACFP participation for the sample of lowincome areas. At the state level, having at least 3 CACFP sponsors to work with unaffiliated centers predicted a 38% increase in the probability of CACFP participation (OR=1.38; 95% Credible Interval [CI]=1.08-1.78). States with higher SNAP participation rates also had higher rates of CACFP center participation (OR=1.12; 95% CI=1.01-1.24). Census tracts with higher education and higher proportions of non-Hispanic White residents had lower CACFP participation rates. Comparison between observed versus predicted state CACFP participation rates suggests that the Bayesian mixed-effects logistic regression model fits the data well (Appendix Figures 1 and 2, available online).

In sensitivity analyses, adjusting for center capacity among the 33 states where this variable was available did not meaningfully change model results for the state-level predictors. Among the subsample of states with data on center capacity, a 1 standard deviation (around 63 units) higher capacity was associated with 32% higher odds of CACFP participation (OR=1.32; 95% CI=1.26-1.37). Using income thresholds based on poverty status for a household size of 4 also did not change the results. Results of the sensitivity analyses are available upon request.

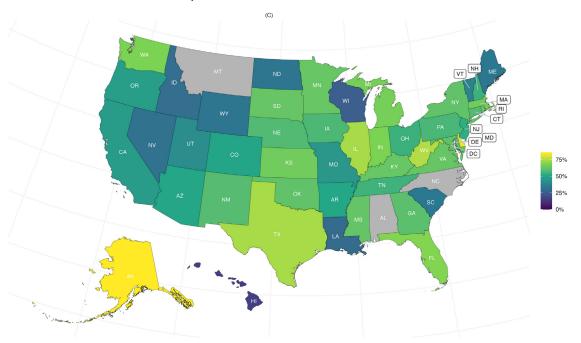
### DISCUSSION

This nationwide study found that CACFP is underutilized. Across 47 states and DC, only 36.5% of all licensed child care centers serving young children participated in CACFP in FY 2019–2020, and only 3 of 5 licensed child care centers in the low-income areas participated in CACFP, despite the likely greater needs in the community. CACFP utilization is even lower in certain states and regions, suggesting additional barriers to program participation. The average CACFP participation rate of 57.5% in low-income areas is considerably lower than the estimated coverage rate of 82% for SNAP<sup>20</sup> but similar to the average WIC participation rate of 56.9%.<sup>28</sup>

There are significant financial implications to this underutilization. Previous research estimated that CACFP underutilization in Connecticut left 20,300 children from low-income households without CACFP-subsidized meals and cost the state \$30.7 million in foregone federal funds.<sup>10</sup> By providing a food subsidy, these funds could have given an important economic boost to child care providers, who have been hit particularly hard by the COVID-19 pandemic.<sup>35</sup>

Understanding factors shaping the decisions of child care centers to provide meals and participate in CACFP

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**Figure 2.** Unadjusted CACFP participation rates among licensed child care centers across states, low-income areas only (*n*=17,229). CACFP, Child and Adult Care Food Program.

should be a priority for the USDA, state agencies, and advocates seeking to improve child nutrition and food security. Although some research has explored participation barriers, more is needed.<sup>36–39</sup> One study found lack of awareness as a key barrier, with more than half of the non-CACFP centers in 1 state did not even know that CACFP existed.<sup>14</sup> Others have found the application

process and compliance can be costly and hard to navigate, the administrative paperwork is burdensome, and providers are concerned about insufficient meal reimbursements.<sup>10,36–39</sup> There is also little understanding of barriers to serving meals in child care centers, including the availability of food service companies that provide CACFP-compliant meals at affordable rates, limited

USDA regions <sup>a</sup>	Full sample, % (N=93,227)	Low-income areas, % (n=17,229)
Mid-Atlantic	29.94	59.45
Midwest	38.83	58.93
Mountain Plains	32.15	49.27
Northeast	27.20	58.94
Southeast	47.63	60.46
Southwest	43.78	57.78
West	28.72	45.92
Total	36.48	57.49

Note: Boldface indicates statistical significance. A Bayesian model was used to compare the participation rates of different regions to the overall participation rate. To determine statistical significance, the 95% Bayesian credible interval (CI) for the deviations of each region from the grand mean (total) were used in an unadjusted model. A Bonferroni-corrected significance level of 0.63% was used to consider the number of groups compared. If the Bayesian CI associated with a region does not include 0, the result is considered statistically significant.

<sup>a</sup>USDA regions<sup>26</sup>: Midwest: Iowa, Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin; Mid-Atlantic: District of Columbia, Delaware, Maryland, New Jersey, Pennsylvania, Virginia, and West Virginia; Mountain Plains: Colorado, Kansas, Missouri, Montana, North Dakota, Nebraska, South Dakota, and Wyoming; Northeast: Connecticut, Massachusetts, Maine, New Hampshire, New York, Rhode Island, and Vermont; Southeast: Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Tennessee, and South Carolina; Southwest: Arkansas, Arizona, Louisiana, New Mexico, Oklahoma, Texas, and Utah; and West: Alaska, California, Idaho, Hawaii, Nevada, Oregon, and Washington.

USDA, U.S. Department of Agriculture; CACFP, Child and Adult Care Food Program.

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#### Table 2. Bayesian Logistic Regression Results for Child Care Centers Located in Low-Income Areas (n=17,867)

	OR
Variable	(95% CI)
Number of CACFP sponsors in state (ref: None)	
CACFP sponsors, 1–2	0.85 (0.65-1.11)
CACFP sponsors, $\geq 3$	1.38 (1.08–1.78)
State agency administratively responsible for CACFP (ref: Department of Education)	
Department/office of social services	0.88 (0.54-1.42)
Department/office of public health	0.97 (0.74-1.28)
Department/office of early care and education	1.39 (0.80-2.40)
Department/office of agriculture	1.19 (0.86-1.66)
Licensing and CACFP in 1 state agency (ref: no)	0.76 (0.52-1.12)
User-friendliness of CACFP state agency website (ref: low range score)	
CACFP agency website, middle range score	1.21 (0.95-1.55)
CACFP agency website, high range score	0.99 (0.74-1.32)
State participation in other nutrition assistance programs	
State-level SNAP participation rate	1.12 (1.01–1.24)
State-level WIC participation rate	1.00 (0.91-1.11)
Party affiliation (ref: Republican)	0.85 (0.65-1.13)
USDA regions <sup>a</sup> (ref: Midwest)	
Mid-Atlantic	1.06 (0.71-1.59)
Mountain Plains	0.89 (0.58-1.36)
Northeast	1.39 (0.88-2.27)
Southeast	0.80 (0.57-1.13)
Southwest	0.74 (0.52-1.07)
West	0.69 (0.47-1.01)
Census-tract characteristics	
Median household income, log scale	0.99 (0.95-1.02)
Non-Hispanic White, %	0.84 (0.80–0.89)
High school graduates or higher, %	0.82 (0.78–0.86)
Children ages 0–5 years, %	1.03 (1.00-1.07)
Intercept	1.53 (1.08-2.15)

Note: Boldface indicates statistical significance, where the 95% Bayesian Cl does not include 1. Reference (ref) categories are specified for each binary and categorical variable.

<sup>a</sup>USDA regions<sup>26</sup>: Midwest: Iowa, Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin; Mid-Atlantic: District of Columbia, Delaware, Maryland, New Jersey, Pennsylvania, Virginia, and West Virginia; Mountain Plains: Colorado, Kansas, Missouri, Montana, North Dakota, Nebraska, South Dakota, and Wyoming; Northeast: Connecticut, Massachusetts, Maine, New Hampshire, New York, Rhode Island, and Vermont; Southeast: Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Tennessee, and South Carolina; Southwest: Arkansas, Arizona, Louisiana, New Mexico, Oklahoma, Texas, and Utah; and West: Alaska, California, Idaho, Hawaii, Nevada, Oregon, and Washington.

CACFP, Child and Adult Care Food Program; CI, credible interval; SNAP, the Supplemental Nutrition Assistance Program; USDA, the U.S. Department of Agriculture; WIC, Women, Infants, and Children.

staffing, equipment and kitchen facilities, local health and state regulations, and interest in center-provided food among parents.

Given the positive association between the number of sponsoring agencies serving centers and CACFP participation, addressing the issue of limited or unavailable sponsors for centers is another possible venue to target in the CACFP expansion efforts. Although centers could work directly with the state for all administrative paperwork, doing so through a sponsor could make the process easier, especially for smaller centers with more limited resources. Sponsoring agencies provide important technical assistance and training, including access to software, development of qualifying menus, documentation maintenance, and access to qualifying food, which could greatly reduce the burden of program participation.<sup>38,39</sup> Despite the sponsors' critical role in supporting CACFP, particularly for small centers, several states do not have any sponsoring agencies for unaffiliated centers or have only 1 sponsor.<sup>25</sup>

This study identified a common lack of cross-agency identifiers across state administrative databases. Very few CACFP state agencies include a state license number in their computer system, so that an automatic link with

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the list of licensed child care centers would be feasible and participation rates could be calculated quickly. Some licensing agencies include questions about CACFP participation, but it is self-reported and prone to error. There are other important gaps in the existing administrative data systems, which limit the ability of state agencies or researchers to measure program reach and impact. Data on the type of food service provided (i.e., meals and snacks served) are not routinely collected. Many agencies do not have information on the nonprofit status of their programs, which is a major factor in determining CACFP eligibility. Some CACFP state agencies do not include physical addresses of participating providers, which precludes analyses of how well CACFP can reach providers in specific communities. State agencies are encouraged to invest in developing modern electronic databases and address these data gaps to provide real-time data on program participation, eligibility, and reach.

Policymakers could play an important role in expanding access to CACFP. At the federal level, CACFP does not appear to receive the attention and funding that other nutrition assistance programs do. For example, CACFP does not have important flexibilities of the school meal programs, including the Community Eligibility Provision that allows serving free meals to all children in high-poverty areas without laborious collection of household income data. Extending the Community Eligibility Provision flexibility to CACFP-participating child care programs in high-poverty areas would remove a major participation burden reported by CACFP providers<sup>14</sup> and improve equity across the USDA programs. State policymakers could help state agencies by providing adequate funding to administer CACFP effectively, including through modernized data collection and extensive outreach. Child care providers could benefit substantially from small grants to cover costs of applying for CACFP and/or remaining in compliance, software fees, updating kitchen facilities and/or equipment, and assistance with finding food service vendors.

### Limitations

This study has several important strengths, including the use of administrative data for 93,227 geolocated centers and, to the best of our knowledge, the first ever compiled data on CACFP participation among licensed child care centers nationwide (except for 3 states). The unique strengths of the estimated model remove spatial confounding that enables more accurate estimation of non-spatial effects, accounts for the effects of unobserved covariates,<sup>40</sup> reduces bias, and provides stability to the directionality of fixed effects coefficients.<sup>41</sup> Model comparisons using information criteria (widely applicable

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information criterion<sup>42</sup>) indicate a superior model fit for the spatial random effect models.

Limitations included lack of data in 3 states and reliance on administrative data, which may have varied in quality. There was no information on CACFP eligibility, instead relying on the census-tract income of center locations as a proxy. Some children do not reside in the area where they attend daycare; however, residents in low-income areas may not have the means to travel to other areas for child care, so the area income may be a reasonable proxy for household income. Furthermore, the records had to be manually merged across state agencies, which could introduce errors owing to the large scope of data, however, 2 raters checked work. The model relied on a variety of state and census-tract covariates collected from multiple sources. Data on center capacity were not available for states, restricting the ability to evaluate its relationship with CACFP participation in all states. This study did not include daycare homes and after-school programs, which should be considered in future research on access to CACFP. Future analyses should study program access across different racial/ethnic communities and rural/urban settings.

### CONCLUSIONS

Many licensed child care centers serving low-income areas do not utilize CACFP's financial and training support for child nutrition and health, raising questions about unique barriers that the program has for its participants. The large variation in CACFP participation rates across states, after accounting for income differences, suggests some states developed effective strategies to reduce program barriers and increase participation. Future research should focus on understanding productive ways to expand CACFP so that millions of young children have access to nutritious foods while child care providers feel supported in providing the best nutritional environment for children in their care.

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The authors dedicate this article in memory of Dr. Dianne S. Ward, an extraordinary researcher, professor, mentor, and human being, whose pioneering work in child care nutrition has pushed this field forward in a major way. Dianne dedicated her career to improving the lives of young children and care providers as well as bringing more researchers and practitioners

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into the field. Her legacy will have a far and wide reach for years to come.

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## **CREDIT AUTHOR STATEMENT**

Tatiana Andreyeva: Conceptualization, Methodology, Data curation, Writing – original draft, Writing – review & editing, Supervision, Funding acquisition. Timothy E. Moore: Conceptualization, Methodology, Data curation, Formal analysis, Software, Writing – review & editing. Lucas da Cunha Godoy: Methodology, Data curation, Formal analysis, Software, Writing – review & editing. Erica L. Kenney: Writing – review & editing.

# SUPPLEMENTAL MATERIAL

Supplemental materials associated with this article can be found in the online version at <a href="https://doi.org/10.1016/j.amepre.2023.09.008">https://doi.org/10.1016/j.amepre.2023.09.008</a>.

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